

Legislation Text

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Proposing an amendment to the Philadelphia Home Rule Charter to provide for the creation of an Office of Inspector General, to provide for the powers and duties of, and annual appropriations to, such Office, to establish requirements for City officials and employees in connection with the work of the Inspector General, and to otherwise provide for incorporation of the Inspector General into the City government; and providing for the submission of the amendment to the electors of Philadelphia.

**WHEREAS**, Under Section 6 of the First Class City Home Rule Act (53 P.S. §13106), an amendment to the Philadelphia Home Rule Charter may be proposed by a resolution of the Council of the City of Philadelphia adopted with the concurrence of two-thirds of its elected members; now therefore

**RESOLVED, BY THE COUNCIL OF THE CITY OF PHILADELPHIA**, That the following amendment to the Philadelphia Home Rule Charter is hereby proposed and shall be submitted to the electors of the City on an election date designated by ordinance:

ARTICLE II - LEGISLATIVE BRANCH

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CHAPTER 2  
COUNCILMANIC PROCEDURE

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§ 2-202. Submission of Ordinances to the Mayor.

Every ordinance shall, before it takes effect, be certified to the Mayor for his approval. The Mayor shall sign the ordinance if he approves it, whereupon it shall become law. If he disapproves it, he shall return it to the Council with the reason for his disapproval at the first meeting thereof held not less than ten days after he receives it. If the Council shall pass the bill by a vote of two-thirds of all of its members within seven days after the bill has been returned with the Mayor's disapproval, it shall become law without his approval. If the Mayor does not return the ordinance within the time required, it shall become law without his approval. The Mayor may disapprove or reduce any item or items of any ordinance making appropriations, except the items in the annual operating budget ordinance making appropriations (a) to the Auditing Department for the compensation of auditors regularly employed by it, (b) for the work of the Personnel Director and the Civil Service Commission, ~~and~~ (c) for the work of the Board of Ethics, *and (d) for the work of the Office of the Inspector General*, which shall become law if any part of the ordinance is approved. Subject only to the foregoing exceptions, the approved part or parts of any ordinance making an appropriation shall become law, and the part or parts disapproved shall not become law unless passed by the Council over the Mayor's veto as provided herein.

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CHAPTER 3  
LEGISLATION

§2-300. The Annual Operating Budget Ordinance.

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(4) In every annual operating budget ordinance:

\* \* \*

(f) *For each of the first two fiscal years immediately following the effective date of this subsection, at least \$1,000,000 shall be appropriated to the Office of the Inspector General; and for all subsequent fiscal years, an amount adequate to enable the Inspector General to perform the duties of his or her Office shall be appropriated. Should the Council fail to make an adequate appropriation to the Office of Inspector General, the Inspector General may petition any Court of Common Pleas of Philadelphia County for a mandamus to the Council to perform its duty under this section.*

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ARTICLE III - EXECUTIVE AND ADMINISTRATIVE BRANCH -  
ORGANIZATION

CHAPTER 1  
OFFICERS, DEPARTMENTS, BOARDS,  
COMMISSIONS AND OTHER AGENCIES

§3-100. Executive and Administrative Officers, Departments, Boards, Commissions and Agencies Designated. The executive and administrative work of the City shall be performed by:

(a) The following elected or appointed officers:

\* \* \*

*Inspector General.*

\* \* \*

CHAPTER 2  
ELECTION OR APPOINTMENT

\* \* \*

§3-212. *Inspector General. The Mayor shall appoint the Inspector General.*

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CHAPTER 4  
TERMS OF OFFICE

\* \* \*

§3-408. *Inspector General.*

(a) *Term.* The Inspector General shall serve for a term of five (5) years, and shall not serve for more than two (2) terms.

(b) *Removal for Cause.* The Inspector General may only be removed by the Mayor prior to the expiration of a term for substantial neglect of duty, gross misconduct in office, inability to discharge the powers or duties of the office, or violation of ordinances or laws relating to ethical matters. Before the Inspector General is removed, the Inspector General must be provided with a written statement of the reasons for removal, and shall be given the opportunity for a hearing before the Mayor.

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CHAPTER 6  
COMPENSATION

Section 3-600. The Mayor and Other Officers. Until the Council shall otherwise ordain, annual salaries shall be payable in equal semi-monthly installments as follows:

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*Inspector General, \$150,000, and Council may not ordain a lesser amount.*

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ARTICLE IV  
EXECUTIVE AND ADMINISTRATIVE  
BRANCH POWERS AND DUTIES

The Mayor, The City Representative and  
Departments, Boards and Commissions under the Mayor

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CHAPTER 16  
INSPECTOR GENERAL

Section 4-1600. *Powers and Duties.*

*The Inspector General shall have the power and his or her duty shall be to perform the following functions:*

*(a) In response to a complaint or on the Inspector General's own initiative, investigate, evaluate and audit fraud, corruption and misconduct concerning City activities and business involving:*

- (1) All offices, departments, boards, agencies and programs of the City;*
- (2) All elected and appointed officers and all employees of the City;*
- (3) All contractors, subcontractors and other entities that do business with the City, in connection with their City business;*
- (4) All recipients of City funding, by grant, subgrant, direct appropriation, or otherwise, in connection with funding received from the City; and*
- (5) All City transactions involving the sale, transfer, lease or other use of property.*

*(b) Obtain access to and inspect all activities, facilities, records and other forms of information maintained or available, including the power to issue and serve subpoenas to compel the attendance of witnesses and the production of documents, relevant to an investigation authorized by this Section;*

*(c) Promote integrity, efficiency and effectiveness in the operation of City government by preparing reports regarding investigations and recommending to the Mayor and any other appropriate agency, entity or official policies and methods to prevent fraud, corruption and misconduct;*

*(d) Refer investigations for further action by an appropriate entity, including agencies of the City, and refer evidence of violation of law to the appropriate law enforcement authority, including local, state and federal authorities;*

*(e) Conduct joint investigations and programs with law enforcement and other investigative and oversight agencies;*

*(f) Broadly distribute to City officials and employees, on a regular basis, information concerning the role of the Inspector General and the responsibilities of City officials and employees pursuant to section 10-112 of this Charter and ensure distribution by City officials and departments of such information to other persons and entities that are a party to transactions subject to investigation by the Inspector General;*

*(g) Submit an annual report to the Mayor and City Council that includes a summary of the number and types of complaints received during the course of the year, the number and types of investigations conducted, the types of recommendations made to City officials and departments, the information provided to City officials and employees regarding their responsibilities, the expenditures of the Office during the course of the year and such other information as the Inspector General shall deem appropriate. The report, which shall be made available to the public, shall not contain any information which, if disclosed, would constitute an invasion of the privacy of a City officer or employee, or of a person or entity that is a party to a transaction subject to investigation by the Inspector General, or that would undermine the integrity of any investigation.*

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ARTICLE VIII  
PROVISIONS OF GENERAL APPLICATION

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CHAPTER 4  
EXECUTIVE AND  
ADMINISTRATIVE BRANCH

Section 8-400. Certain General Provisions to Apply to Certain Officers. All of the provisions of this charter which apply generally to department heads or departments, boards and commissions shall apply to the Mayor, the Managing Director, the Director of Finance, the City Treasurer, the City Representative, the Insurance Public Advocate, the Handicapped and Disabled Advocate, the Public School Family and Child Advocate, *the Inspector General*, and the Personnel Director and to their offices.

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ARTICLE X  
PROHIBITED *AND REQUIRED* ACTIVITIES OF COUNCILMEN, CITY OFFICERS, EMPLOYEES AND  
OTHERS, AND PENALTIES

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§ 10-106.1 *Responsibilities of Elected Officials, Appointed Officials, Employees and Others in Connection With Criminal Activity, Other Forms of Misconduct and the Inspector General.*

(1) *Every elected official, appointed official and employee shall, with respect to matters within the jurisdiction of the Inspector General as set forth in Section 4-1600 of this Charter:*

(a) *Report directly to the Inspector General any knowledge, information or allegation brought to his or her attention that any person or entity may have committed a criminal act or other violation of law or engaged in another form of misconduct, or violated any of the provisions of the standards of conduct or rules of conduct issued by any department, commission, board or office of the City;*

(b) *Report directly to the Inspector General any attempts to improperly influence the individual in the course of his or her official duties or to provide him or her with any money or property not due in the course of his or her official duties;*

(c) *Cooperate fully with the Inspector General in the discharge of the Inspector General's duties as specified in Section 4-1600 by providing truthful and complete information and testimony, and by providing full and unrestricted access to City activities, property, records, and all other forms of information pertaining to an investigation undertaken by the Inspector General;*

(d) *Maintain in confidence all communications with representatives of the Inspector General when so directed by such representatives in the discharge of the duties of the Office of Inspector General.*

(2) *The knowing failure of any appointed official or employee to comply with the requirements of this Section shall constitute cause for removal from employment or subject such individual to other penalties as provided by law.*

(3) *No person shall retaliate against, punish or penalize any other person for complying with the requirements of this Section or otherwise assisting the Inspector General in the performance of his or her duties.*

(4) *No person shall make a frivolous complaint to the Inspector General nor make a complaint that is without probable cause and primarily for a purpose other than that of identifying a true instance of violation of law, misconduct or standard of conduct of a department, commission, board or office of the City.*

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§ 10-107. Political Activities.

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(6) *No person while serving as the Inspector General shall make financial contributions to any candidate for any City office or to any incumbent City official. No person who has served as Inspector General shall seek any elective City office until two years after termination of service as Inspector General.*

(7) Any officer or employee of the City who violates any of the foregoing provisions of this section shall, in addition to any penalties provided for hereafter, be ineligible for one year for any office or position under the City.

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APPENDIX

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CHAPTER A-2

§ A-200. Schedule.

This charter shall become effective on the first Monday of January, 1952, except in the following particulars:

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(8) *The amendments to this Charter relating to the Office of Inspector General, and the responsibilities of elected officials, appointed officials, employees and others in connection with criminal activity, other forms of misconduct and the Inspector General, shall take effect upon approval by the voters. The term of Office of the Inspector General shall begin on May 15, 2008.*

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**Explanation:**

~~Strikethrough~~ indicates matter deleted.

*Italics* indicates new matter added.